



(Application Serial No.)

(Filing Date)

(Status)  
(patented, pending, abandoned)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.


POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

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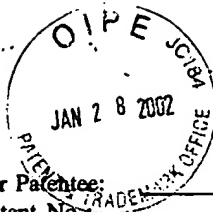
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Full name of sole or first inventor		FREDERICK ALLAN HALL	
Inventor's signature			Date
		19-10-2001	
Residence	Tyndrwfwl, Waunfawr, Caernarfon, Gwynedd. North Wales, UK		
Citizenship	BRITISH		
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Full name of second joint inventor, if any			
Second inventor's signature		Date	
Residence			
Citizenship			
Post Office Address			

(Supply similar information and signature for third and subsequent joint inventors.)

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Applicant or Patentee: Frederick Allan HALL  
Serial or Patent No.: 09/975,088  
Filed or Issued: October 10, 2001  
For: Improvements In And Relating To Karabiners

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
(37 C.F.R. 1.9(f) AND 1.27(c) - SMALL BUSINESS CONCERN)

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: DMM Engineering Limited  
ADDRESS OF CONCERN: Y-Glyn, Llanberis, Gwynedd. LL55 4EL UK

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. 121.12, and reproduced in 37 C.F.R. 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: Improvements In And Relating To Karabiners  
by the inventor(s): Frederick Allan HALL described in:

- ☐ the specification filed herewith.  
☒ application serial no. 09/975,088 filed October 10, 2001  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.


If the rights held by the above-identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 C.F.R. 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: FREDERICK ALLAN HALL  
TITLE OF PERSON OTHER THAN OWNER: TECHNICAL DIRECTOR  
ADDRESS OF PERSON SIGNING: Tyndrwl, Waunfawr, Caernarfon, Gwynedd. North Wales  
UK

SIGNATURE:  DATE: 19-10-2001

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